

HIGHLIGHT OF DECEMBER 1, 2023, FEE CHANGES

(Changes Highlighted Below)

1) Transaction Rebates/Fees

d) Remove Volume Tiers

Tier	Required Criteria	Securities Priced at or Above \$1.00
1	ADV ≥ 0.10 0.20 % of TCV and ≥1,000 shares of added liquidity	\$0.00290
2	ADV ≥ 0.15% of TCV and ≥1,000 shares of added liquidity	\$0.00285

The fees provided for by the above table are applicable to the following fee codes: RA, RB, RC, RR, RT, Ra, Rb, Rc, Rr, and Rt.

f) Step-Up Added Liquidity Rebate

Tier	Required Criteria	Securities Priced at or Above \$1.00
1	Step-Up ADAV as a % of TCV from May 2023 ≥ 0.03% of the TCV. This criteria will expire no later than November 30, 2023 February 29, 2024 .	(\$0.0031)

The rebate provided for by the above table is applicable to the following fee codes: AA, AB, and AC.