



CLEARING MEMBER CONTACT INFORMATION

Clearing Member Name: _____

1. Who should the Minneapolis Grain Exchange Department of Audits and Investigations (“A&I”) contact regarding financial statements?

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

2. Who should A&I contact regarding the submission of trade data and position reports? (Form 200s, As-Of Trade Reports, Long Position Reports, etc.)

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

3. Who should A&I contact regarding delivery issues? (Notice of Intention to Deliver forms, warehouse receipts, etc.)

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

4. Who should A&I contact regarding daily pay/collect information?

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

5. To whom should A&I send requests for trade documentation? (Documentation includes trading cards, order forms, account statements, exchange for physical transactions confirmations, etc.)

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

Copies to:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

6. To whom should A&I send disciplinary action notices? (Disciplinary action notices includes Staff Warning Letters, Staff Reminder Letters, Notice of Charges from a Disciplinary Committee, etc.)

Name: _____

Title: _____

Address: _____

Phone Number: _____

Fax Number: _____

Email Address: _____

Copies to:

Name:

Title:

Address:

Phone Number:

Fax Number:

Email Address:
