



CLEARING MEMBER CONTACT INFORMATION

Clearing Member Name: _____

Please complete this form and email to kdusek@mgex.com and clearing@mgex.com. Please provide direct contacts and add any additional contacts to the additional contacts section. When a change occurs to a listed contact person, updates should be provided to the Clearing House.

1. Who should MGEX contact regarding **position reporting for open interest?**

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

2. Who should MGEX contact regarding **GAP and general trade inquiries?**

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

3. Who should MGEX contact regarding **delivery issues**? (Notice of Intention to Deliver Forms, warehouse receipts, etc.)

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

4. Who should MGEX contact regarding **option exercises**?

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

5. Who should MGEX contact as your firm's **main back office contact**?

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

6. Who should MGEX contact regarding **CGM file creation**? (After 7:00 pm CST)

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

7. Who should MGEX contact regarding **CGM file errors**? (After 7:00 pm CST)

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

8. Who should MGEX contact regarding **daily pay/collects**?

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

9. Who should MGEX contact regarding **collateral management**?

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

10. Who should MGEX contact regarding **risk management**?

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

11. Who should MGEX contact regarding **account ownership and control and large trader reporting?**

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

12. Who should MGEX contact regarding **disciplinary action notices**? (Disciplinary action notices include Staff Warning Letters, Summary Fine Notices, Notice of Charges from the Disciplinary Committee, etc.)

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

13. Who should MGEX contact regarding **financial statements**? (Reporting and financial changes.)

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

Alternate Contact Person:

Name: _____

Title: _____

Address: _____

Phone Number: _____

Cell Number: _____

Email Address: _____

14. Who should MGEX contact regarding **trade documentation**? (Documentation includes trading cards, order forms, account statements, exchange for physical transactions confirmations, etc.)

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

15. Who should MGEX contact regarding **electronic order information?** (Electronic audit trails, Tag 50 ID Information Forms, etc.)

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Alternate Contact Person:

Name: _____
Title: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

16. Please include any **additional contacts**.

Additional Contact Person:

Name: _____
Title: _____
Department: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Additional Contact Person:

Name: _____
Title: _____
Department: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Additional Contact Person:

Name: _____
Title: _____
Department: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Additional Contact Person:

Name: _____
Title: _____
Department: _____
Address: _____

Phone Number: _____
Cell Number: _____
Email Address: _____

Print Name: _____

Signature: _____

Date: _____